

## Base-dependent Kinetics of Smiles Rearrangement of *N*-[2-(*p*-Nitrophenoxy)ethyl]ethylenediamine in Aqueous Solution; Intramolecular Catalysis of an Intramolecular $S_NAr$ Reaction<sup>1</sup>

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Intramolecular displacement of alkoxide ion by the secondary amino group of *N*-[2-(*p*-nitrophenoxy)ethyl]ethylenediamine in water is uncatalysed by general bases, but is characterised by a pH-insensitive rate plateau and specific-base-catalysed approach to a rate limit at high pH; the rate plateau at  $[\text{OH}^-] 0.1\text{--}0.01\text{M}$  is a consequence of the dominant catalytic effect of the neighbouring amino group in promoting rate-determining deprotonation of the spiro-Meisenheimer intermediate.

In an earlier paper<sup>2</sup> we argued that kinetics of base-catalysed Smiles rearrangement of a series of amino-ethers  $\text{SH}_{a-d}$  could best be accommodated by the kinetic expression (1) which is a simplification of the steady-state rate expression (2) for which equation (3) holds. Equation (3) is obtained for Scheme 1 by steady-state treatment of MH and  $\text{M}^-$ .

$$k_{\text{obs}} = \frac{k_1 \{k_2 + k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{B}}[\text{B}]\}}{k_1 + \{k_2 + k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{B}}[\text{B}]\}} \quad (1)$$

$$d[\text{P}]/dt = \frac{\{(1)(2)/[(1) + (2)]\}(3)[\text{SH}]}{(3) + (\bar{1})(2)/[(1) + (2)]} = k_{\text{obs}}[\text{SH}] \quad (2)$$

$$k_{\text{obs}} = \frac{(1)(2)(3)}{(3)(\bar{1}) + (3)(2) + (\bar{1})(2)} \quad (3)$$

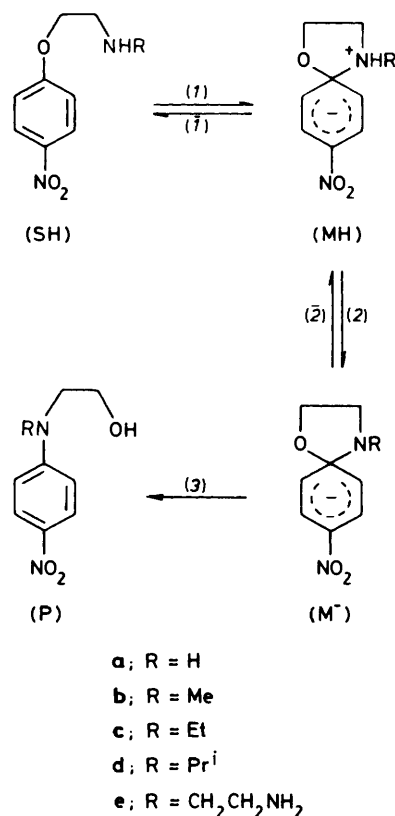
Equation (1) is obtained from equation (3) if it is assumed that SH need not necessarily be in equilibrium with MH and that conversion of  $\text{M}^-$  into P is faster than is its rate of reprotonation to give MH [*i.e.* (3) > ( $\bar{2}$ )]. According to equation (1) the reaction exhibits general-base catalysis, as a consequence of rate-limiting deprotonation (r.l.d.) of MH to give  $\text{M}^-$ , but approaches a base-independent rate limit  $k_1$  at high base concentration, *i.e.* the rate of formation of MH becomes limiting once the rate of conversion of MH into product, *via*  $\text{M}^-$ , greatly exceeds the rate of return of MH to SH.

In order to gain further evidence for rate-determining proton transfer during rearrangement of substrates SH we decided to incorporate a neighbouring base catalyst which might accelerate deprotonation of the corresponding Meisenheimer intermediate MH. It was therefore anticipated that rearrangement of  $\text{SH}_e$ , for which the conversion  $\text{MH}_e \rightarrow \text{M}_e^-$  could be animerically assisted by the terminal amino group, should be insensitive to intermolecular general-base catalysis, and exhibit the rate limit  $k_1$  even at moderately low base concentrations.

The kinetic results obtained upon investigation of the rearrangement of  $\text{SH}_e$  to  $\text{P}_e$  are the topic of this paper.

### Discussion

The substrate  $\text{SH}_e$  was synthesised from *p*-chloronitrobenzene, by reaction with sodium *N*-(2-aminoethyl)-2-aminoethoxide in DMSO, according to a recently developed procedure.<sup>3</sup> Although the reaction time was reduced to 5 min,  $\text{SH}_e$  was invariably obtained in admixture with the product ( $\text{P}_e$ ) of its subsequent rearrangement (*ca.* 25%). Attempts to obtain a sample of  $\text{SH}_e$  which was uncontaminated by  $\text{P}_e$  were unsuccessful and, in order to obtain the kinetic results here reported, an isomeric mixture (75%  $\text{SH}_e$ , 25%  $\text{P}_e$ ) was used



$$\begin{aligned} (1) &= k_1 \\ (2) &= k_2 + k_2^{\text{OH}}[\text{OH}^-] + \sum k_2^{\text{B}_i}[\text{B}_i] \\ (3) &= k_3[\text{H}^+] + k_3^{\text{OH}} + k_3^{\text{B}_i}[\text{B}_i\text{H}^+] \\ (\bar{1}) &= k_{-1} \\ (\bar{2}) &= k_{-2}[\text{H}^+] + k_{-2}^{\text{OH}} + \sum k_{-2}^{\text{B}_i}[\text{B}_i\text{H}^+] \end{aligned}$$

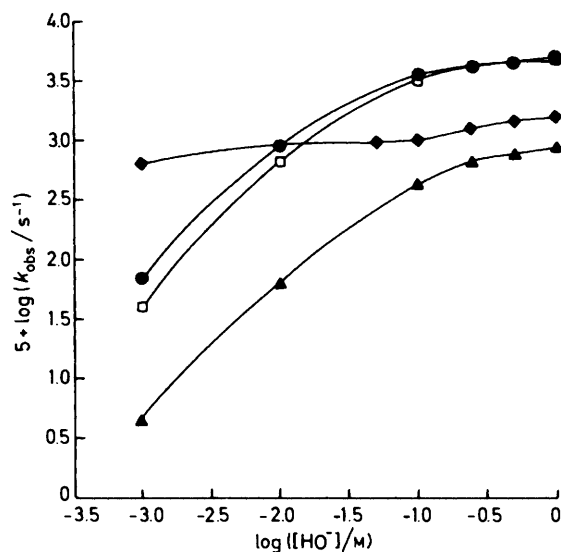
Scheme 1.

which had satisfactory elemental analysis. The identity of the product ( $\text{P}_e$ ), which was obtained in 50% yield upon Smiles rearrangement of  $\text{SH}_e$  in aqueous alkali, was confirmed by spectroscopic and elemental analysis. We have no reason to believe that the kinetics of rearrangement of  $\text{SH}_e$  (*ca.*  $1 \times 10^{-4}\text{M}$ ) in aqueous alkaline solutions at 60 °C are influenced by the presence of  $\text{P}_e$ , and have confirmed that the

**Table.** Rate constants ( $10^5 k_{\text{obs}}/\text{s}^{-1}$ ) for intramolecular rearrangement † of *N*-[2-(*p*-nitrophenoxy)ethyl]ethylenediamine catalysed by bases in water at 60 °C and  $\mu$  1.0 (KNO<sub>3</sub>)

[Base]/M	1.0	0.5	0.25	0.1	0.05	0.02	0.01	0.001
NaOH	1 550	1 450	1 250	1 000	967	940	930	640
Ethanolamine*	890	930	895	910	905	895	800	900
Morpholine*	910	885	895	920	883	900	885	890

\* In each case [NaOH] = 0.01M. † Monitored spectrophotometrically at 435 nm



**Figure 1.** Plots of  $\log k_{\text{obs}}$  versus  $\log [\text{HO}^-]$  for Smiles rearrangement of 2-(*p*-nitrophenoxy)ethylamine bearing *N*-Me (●, SH<sub>b</sub>), *N*-Et (□, SH<sub>c</sub>), *N*-Pr (▲, SH<sub>d</sub>), and *N*-(2-aminoethyl) (◆, SH<sub>e</sub>) substituents

rate constants obtained are independent of the initial concentration of SH<sub>e</sub> and P<sub>e</sub>.

The pseudo-first-order rate constants ( $k_{\text{obs}}$ ) for intramolecular rearrangement of SH<sub>e</sub> catalysed by hydroxide ion, morpholine, and ethanolamine at constant ionic strength ( $\mu$  1.0;  $T$  60 °C) are in the Table. Sodium hydroxide (0.01M) was added to each of the morpholine and ethanolamine solutions in order to ensure that the pH exceeded the  $\text{p}K_{\text{a}}$  of the conjugate acid of SH<sub>e</sub> or of the amine catalysts; reactions were monitored spectrophotometrically at 435 nm.

The results indicate that reaction of SH<sub>e</sub> is specific-base-catalysed and that it approaches a rate limit  $k_1$ ,  $1.65 \times 10^{-2} \text{ s}^{-1}$  at hydroxide concentrations in excess of 1.0M. Thus, while the neighbouring catalyst causes a change from general-base catalysis (*cf.* SH<sub>a-d</sub>) to specific-base catalysis it has little effect on the hydroxide concentration required to achieve the rate limit  $k_1$ ; comparable values  $10^2 k_1/\text{s}^{-1} = 5.13, 5.00, \text{ and } 1.00$  have been determined for SH<sub>b-d</sub>, respectively.

Furthermore, in marked contrast (see Figure 1) to the behaviour<sup>2</sup> of SH<sub>a-d</sub> in aqueous sodium hydroxide the analogous rearrangement of SH<sub>e</sub> exhibits a complex dependence on specific-base catalysis (there being a base-insensitive region at hydroxide concentrations of 0.01–0.1M) prior to attainment of a rate limit  $k_1$  at high base concentration; this

behaviour must be a direct consequence of neighbouring group participation by the primary amino group since it is not that expected of any of the kinetic equations\* which it was necessary to inspect upon consideration of the reactivity of 2-(*p*-nitrophenoxy)ethylamines SH<sub>a-d</sub>.

Modification of equation (1) by introduction of a further term ( $k_2^{\text{NSP}}$ ), to take account of rapid intramolecular deprotonation of MH by the neighbouring amino group, gives equation (4) which at first sight appears to be inconsistent with the base dependence observed for reaction of SH<sub>e</sub>. Thus, if the neighbouring group exhibits a moderately high effective concentration then equation (4) requires that the substrate attain the rate limit  $k_1$  at low hydroxide ion concentration (relative to the hydroxide concentrations required to induce limiting behaviour of those substrates SH<sub>a-d</sub> for which there is no term  $k_2^{\text{NSP}}$ ); alternatively, should  $k_2^{\text{NSP}}$  fail to dominate the conversion of MH into M<sup>-</sup>, intermolecular catalysis by general bases ( $\beta$  *ca.* 0.2) should again be observed.

$$k_{\text{obs}} = \frac{k_1 \{k_2 + k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{B}}[\text{B}] + k_2^{\text{NSP}}\}}{k_1 + \{k_2 + k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{B}}[\text{B}] + k_2^{\text{NSP}}\}} \quad (4)$$

In order to explain the observed specific-base dependence with pH-insensitive rate plateau at  $[\text{HO}^-]$  0.01–0.1M and approach to a rate limit at  $[\text{HO}^-] \geq 1.0\text{M}$  we must therefore examine the following alternative interpretations.

(1) Equation (4) may indeed be applicable, but the intramolecular catalyst may exhibit a relatively small effective concentration (say 3–5M). This may be sufficient to dominate the influence of intermolecular catalysts of comparable basicity, but insufficient to ensure that (2)  $\gg$  ( $\bar{I}$ ); consequently, attainment of the rate limit  $k_1$  would be achieved only with the additional intermolecular catalytic influence of a strong base such as hydroxide.

(2) Introduction of the neighbouring base catalyst may cause a change in mechanism from the rate-limiting deprotonation process governed by equation (4) to the SB–GA mechanism,† for example.

(3) The mechanism of reaction of SH<sub>a-d</sub> may have been incorrectly assigned; the alternative SB–GA mechanism may apply to all substrates SH<sub>a-e</sub>.

We wish, at the outset, to dismiss this third alternative for the following reasons. (i) The results already reported<sup>2</sup> for SH<sub>a-d</sub> are fully consistent with an interpretation according to equation (1). (ii) The relative values of the rate constants estimated for SH<sub>a-d</sub> can be rationalised satisfactorily,<sup>2</sup> and the magnitude of the constants is in accord with expectations based on analogous rate constants which have been directly determined for related di- and tri-nitro systems. (iii) We have reported that the reaction of SH<sub>c,d</sub> is general-base-catalysed in ethanolamine solutions (0.001–1.0M) of pH  $\geq 11$ , or in weak ethanolamine buffer solutions of pH 8.576, whereas the reaction rate becomes insensitive to high concentrations of the same buffer. This can be rationalised if it is argued that there is a change from the r.l.d. to the SB–GA mechanism as equilibration of MH and M<sup>-</sup> becomes more rapidly established with increasing concentration of the buffer acid; furthermore, for SH<sub>c,d</sub> inconversion of M<sup>-</sup> to P is apparently insensitive to general acids and the overall process should correspondingly be termed an SB mechanism. This satisfactory explanation of the behaviour of SH<sub>c,d</sub> could not be invoked if the SB–GA mechanism were to be assumed from the outset.

It is also important to note that explanation of the behaviour

\* *I.e.* those derived from expression (2) by applying simplifying assumptions, and also those derived for an extended reaction scheme which is referred to in an earlier paper.<sup>4</sup>

† *I.e.* whereby MH and M<sup>-</sup> are in an equilibrium ratio, governed by the specific base strength, and the rate-limiting conversion of M<sup>-</sup> into P may be catalysed by the conjugate acid of any base present.

of  $\text{SH}_e$  with the initial assumption that the SB-GA mechanism applies for the series  $\text{SH}_{a-e}$  presents difficulties which are analogous to those encountered when the r.l.d. mechanism is assumed. Thus, from equation (3) one can derive equation (5) for the SB-GA mechanism, *i.e.* where (2) > (3). Incorporation of the neighbouring group will introduce terms in  $k_3^{\text{ngp}}$ ,  $k_2^{\text{ngp}}$ , and  $k_2^{\text{ngp}}$  but will not influence the equilibrium ratio (2)/(2); thus, the rate constant for reaction of  $\text{SH}_e$  by the SB-GA mechanism would be governed by equation (6) where  $f$  is the fraction of  $\text{M}^-$  present with its terminal amino group in the form  $\text{RNH}_3^+$ .

$$k_{\text{obs}} = \frac{(1)(3)(2)/(2)}{(3)(2)/(2) + (1)} \quad (5)$$

$$k_{\text{obs}} = \frac{k_1\{(k_3[\text{H}^+] + k_3^{\text{OH}} + k_3^{\text{B}}[\text{BH}^+] + k_3^{\text{ngp}}f)K_{\text{a}}^{\text{MH}}/[\text{H}^+]\}}{k_1 + \{(k_3[\text{H}^+] + k_3^{\text{OH}} + k_3^{\text{B}}[\text{BH}^+] + k_3^{\text{ngp}}f)K_{\text{a}}^{\text{MH}}/[\text{H}^+]\}} \quad (6)$$

$$\frac{1}{k_{\text{obs}}} = \frac{1}{k_1} + \frac{k_1}{k_1 k_2^{\text{OH}}[\text{OH}^-]} \quad (7)$$

The qualitative similarity between equation (4) for the r.l.d. mechanism and equation (6) for the SB-GA mechanism is immediately apparent. Thus, each equation requires that if the neighbouring group exhibits a moderately high catalytic effect the substrate  $\text{SH}_e$  should attain the rate limit  $k_1$  at low hydroxide concentration (relative to that required to induce limiting behaviour of  $\text{SH}_{a-d}$ ); alternatively, intermolecular general-base catalysis (*e.g.* by ethanolamine) should be observed.

Thus, we are satisfied that amino-ethers  $\text{SH}_{a-d}$  react by the r.l.d. mechanism and we note that there is no advantage in assuming the alternative SB-GA mechanism for each substrate  $\text{SH}_{a-e}$  in order to account for the limited effect of the intramolecular catalyst. The behaviour of  $\text{SH}_e$  must therefore be a consequence of either a change to the SB-GA mechanism for this substrate alone (*cf.* the behaviour of  $\text{SH}_{c,d}$  in ethanolamine buffers of high concentration)† or of a very modest intramolecular general-base catalytic effect on the deprotonation of  $\text{MH}_e$  according to the expected r.l.d. mechanism.

We will now present an interpretation which assumes that the r.l.d. mechanism applies to  $\text{SH}_e$ ; the component rate constants will be estimated and compared with those for  $\text{SH}_{a-d}$ . The modest effect of the intramolecular catalyst will be estimated and compared with results for other examples of intramolecular general-base-catalysed deprotonation. Attention will subsequently be given to the possibility that the neighbouring base catalyst causes a change to the SB-GA mechanism for  $\text{SH}_e$ ; it will be argued that this interpretation is qualitatively attractive, but quantitatively inconsistent with our combined kinetic results for  $\text{SH}_{a-e}$  and with related observations.

*Interpretation of the Kinetics of Rearrangement of  $\text{SH}_e$ , according to the R.l.d. Mechanism.*—Results (Table) for

† We have previously argued that for reaction of  $\text{SH}_{c,d}$  in ethanolamine (E) buffer solutions of pH 8.58, a 0.5M concentration of  $\text{EH}^+$  is sufficient to cause a change in mechanism from r.l.d. [(2) << (3)] to solvent-promoted ring opening of the pre-equilibrium concentration of  $\text{MH}$ , [(2) > (3)]. Likewise, the pre-equilibrium condition can be claimed for reaction of  $\text{SH}_e$  if there is reason to believe that (2)<sup>ngp</sup> > (3)<sup>ngp</sup>. By analogy with  $\text{SH}_{c,d}$  it is reasonable to assume that  $k_2^{\text{ngp}} > k_3^{\text{ngp}}$  and to expect  $k_2^{\text{ngp}}f$  to exceed ( $k_3^{\text{OH}} + k_3^{\text{ngp}}f$ ) for all solutions of sufficient acidity to ensure that  $k_3^{\text{ngp}} > k_3^{\text{OH}}$ ; this should be achieved provided the multiple of the fraction protonated ( $f$ ) and the 'effective concentration' of the neighbouring group exceeds unity (*i.e.* since E and ngp are of comparable basicity and for  $\text{SH}_{c,d}$   $k_2^{\text{E}}[\text{EH}^+] > k_3^{\text{OH}}$  when  $[\text{EH}^+] \geq 0.5$ ).

rearrangement of  $\text{SH}_e$  in aqueous sodium hydroxide at constant ionic strength reveal that the rate approaches a maximum at high hydroxide concentration but that there is, within experimental error, a pH-insensitive plateau for hydroxide concentrations between 0.01 and 0.1M.

For reactions of substrates  $\text{SH}_{a-d}$  in aqueous hydroxide it was convenient to invert equation (1) and to correlate  $1/k_{\text{obs}}$  with  $1/[\text{HO}^-]$ ; rectilinear correlations were obtained since  $k_2$  is small relative to  $k_2^{\text{OH}}[\text{OH}^-]$  throughout the base concentration range employed, giving equation (7). Values of  $k_1$  and  $k_2^{\text{OH}}/k_1$  were obtained from the slope and intercept in the usual manner.

The contrasting behaviour of  $\text{SH}_e$  and  $\text{SH}_c$  is apparent in Figure 2.

Inversion of the modified rate equation (4) for  $\text{SH}_e$  gives (8). It is therefore reasonable to assume that equation (9) applies for reaction in aqueous hydroxide.

$$\frac{1}{k_{\text{obs}}} = \frac{1}{k_1} + \frac{k_1}{k_1(k_2 + k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{B}}[\text{B}] + k_2^{\text{ngp}})} \quad (8)$$

$$\frac{1}{k_{\text{obs}}} = \frac{1}{k_1} + \frac{k_1}{k_1(k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{ngp}})} \quad (9)$$

Thus,  $1/k_{\text{obs}}$  will attain the limit (intercept)  $1/k_1$  when ( $k_2^{\text{OH}}[\text{OH}^-] + k_2^{\text{ngp}}$ )  $\gg k_1$ . It is clear, from our rate study, that  $k_2^{\text{ngp}}$  alone cannot greatly exceed  $k_1$ , otherwise rearrangement of  $\text{SH}_e$  would occur at constant rate ( $k_1$ ) throughout the range of hydroxide concentrations employed. It is therefore to be expected that at high base concentrations ( $k_2^{\text{OH}}[\text{OH}^-] > k_2^{\text{ngp}}$ ) equation (9) will approximate to

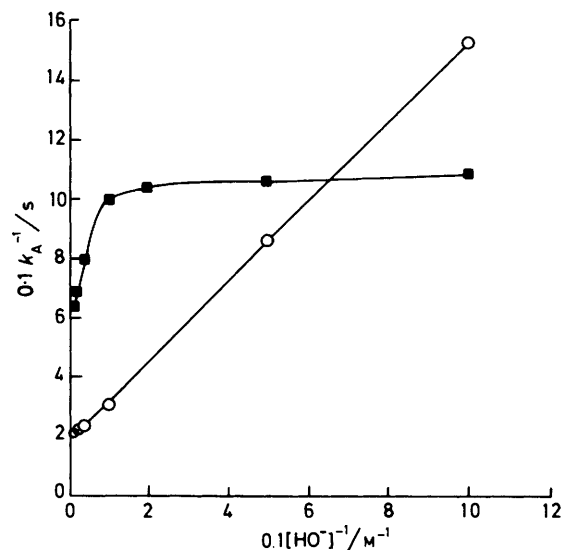


Figure 2. Contrasting plots of  $1/k_{\text{obs}}$  versus  $1/[\text{HO}^-]$  for Smiles rearrangement of 2-(*p*-nitrophenoxy)ethylamine bearing *N*-Et (○,  $\text{SH}_e$ ) and *N*-(2-aminoethyl) (■,  $\text{SH}_c$ ) substituents

equation (7), and that a linear relationship will apply, from which  $1/k_1$  and  $k_2^{\text{OH}}/k_{-1}$  can be obtained. As the hydroxide concentration is reduced, equation (9) approaches equation (10) for the further extreme where  $k_2^{\text{NSP}} \gg k_2^{\text{OH}}[\text{OH}^-]$ . The consequent base-independent rate plateau (from which  $k_2^{\text{NSP}}/k_{-1}$  can be obtained) should extend to lower pH until the pH approaches the highest  $\text{p}K_a$  (conjugate acid) of  $\text{SH}_e$  and the rate expression is complicated by partial protonation of the substrate;  $1/k_{\text{obs}}$  should correspondingly increase at this extreme.

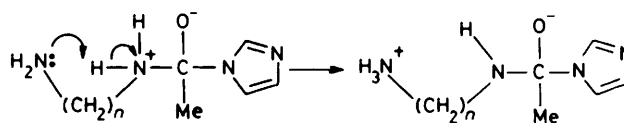
$$\frac{1}{k_{\text{obs}}} = \frac{1}{k_1} + \frac{k_{-1}}{k_1 k_2^{\text{NSP}}} \quad (10)$$

The relationship between  $1/k_{\text{obs}}$  and  $1/[\text{OH}^-]$  for  $\text{SH}_e$  (Figure 2) exhibits the trends expected of equation (9). The following rate constants have correspondingly been determined for  $\text{SH}_e$ :  $k_1$ ,  $1.65 \times 10^{-2} \text{ s}^{-1}$ ;  $k_2^{\text{OH}}/k_{-1}$ ,  $10 \text{ l mol}^{-1}$ ;  $k_2^{\text{NSP}}/k_{-1}$ , 1.12. The values for  $k_1$  and  $k_2^{\text{OH}}/k_{-1}$  are consistent with those obtained<sup>2</sup> for  $\text{SH}_{a-d}$ . Thus, we have reported that the effect of *N*-methylation of the parent substrate  $\text{SH}_a$  is to cause  $k_1$  to increase from  $0.082 \times 10^{-2}$  to  $5.13 \times 10^{-2} \text{ s}^{-1}$  for  $\text{SH}_b$ , probably as a consequence of enhanced nucleophilicity brought about by the electron-donating effect of the methyl substituent. Although  $k_1$  for *N*-alkyl substrates  $\text{SH}_{c,d}$  is also greater ( $10^2 k_1/\text{s}^{-1}$ , 5.00 and 1.00, respectively) than for  $\text{SH}_a$ , there is a progressive decrease in  $k_1$  with increasing complexity of the alkyl substituent;  $\text{SH}_e$  falls neatly within this series and its behaviour ( $10^2 k_1/\text{s}^{-1}$  1.65) can be likened to that of  $\text{SH}_d$ . The comparable values of  $k_1$  for  $\text{SH}_d$  and  $\text{SH}_e$  make it clear that the neighbouring group has no catalytic effect on the nucleophilic addition of the secondary amino group to the activated aromatic ring. This is in accord with the well established view<sup>5</sup> that for intermolecular  $\text{S}_{\text{N}}\text{Ar}$  reactions of amine nucleophiles, with aromatic substrates bearing poor leaving groups, the occurrence of base catalysis cannot be attributed to deprotonation concomitant with nucleophilic addition.

The rate ratio  $k_2^{\text{OH}}/k_{-1}$  for  $\text{SH}_e$  also compares favourably with the *N*-isopropyl substrate  $\text{SH}_d$ ; the respective values for  $\text{SH}_{a-e}$  are 239, 21.3, 15.4, 6.85, and  $10 \text{ l mol}^{-1}$ .

For reaction of  $\text{SH}_e$  in 0.01M-sodium hydroxide containing the general-base catalysts ethanolamine or morpholine (0.001–1.0M) the kinetic results (Table 1) fail to reveal any influence of the general base. This was a surprising result since we had established that the effect of the neighbouring group was not alone sufficient to induce deprotonation of  $\text{MH}_e$  at a rate much faster than its return to  $\text{SH}_e$  ( $k_2^{\text{NSP}}/k_{-1} = 1.12$ ). However, since the behaviour of  $\text{SH}_e$  is similar to that of  $\text{SH}_d$  (particularly with regard to the rate ratio  $k_2^{\text{OH}}/k_{-1}$ ) it is reasonable to suppose that for  $\text{SH}_e$  the efficacy of ethanolamine (E) will be close to that for  $\text{SH}_d$ , for which we have reported  $k_2^{\text{E}}/k_{-1}$   $0.26 \text{ l mol}^{-1}$ . Correspondingly, according to the general equation (8) we would expect the rate of reaction of  $\text{SH}_e$  in 0.01M-sodium hydroxide to be increased by only ca. 8% upon addition of 1.0M-ethanolamine. Our failure to detect such a small change may be attributed to experimental error, possibly combined with a rate-retarding medium effect of ethanolamine at high concentration.

The inability of the neighbouring group to cause  $\text{MH}_e$  to deprotonate very much faster than it returns to  $\text{SH}_e$  (and thereby promote rate-limiting behaviour,  $k_{\text{obs}} \rightarrow k_1$ ), requires that the intramolecular catalyst display only a modest effective concentration. An estimate  $k_2^{\text{B}}/k_{-1} \approx 0.32$  can be made for an intermolecular base catalyst of comparable basicity to that of the neighbouring amino group if we assume the approximate values  $\beta$  0.3 (cf.  $\text{SH}_{a-d}$ )<sup>2</sup> and  $\text{p}K_a^{\text{H}_2\text{O}} - \text{p}K_a^{\text{NSP}} = 5$ , combined with  $k_2^{\text{OH}}/k_{-1}$  10 for  $\text{SH}_e$ . The effective molarity of the intramolecular catalyst is therefore  $1.12/0.32 = 3.5\text{M}$ ; this



Scheme 2.

relatively low value is in keeping with previous reports of intramolecular proton transfer.<sup>6</sup> For example, it has been estimated<sup>6a,7</sup> that the effective concentration of the intramolecular catalyst in promoting the conversion in Scheme 2 is 0.55, 0.94, 0.20, and 0.25M where  $n = 2-5$ , respectively.

It is reasonable\* to suppose that the decrease in  $k_{\text{obs}}$  upon reduction of the hydroxide concentration to 0.001M is a consequence of partial protonation of  $\text{SH}_e$ .

*Attempted Interpretation of the Kinetics of Reaction of  $\text{SH}_e$  according to the SB-GA Mechanism.*—The specific-base dependence, plateau region, and approach to a rate limit observed for  $\text{SH}_e$  could also be interpreted if it is assumed that (2) exceeds (3); this is in contrast with the behaviour of  $\text{SH}_{a-d}$  for which we have argued that conversion of  $\text{M}^-$  into P occurs much faster than return of  $\text{M}^-$  to  $\text{MH}$  [(3) > (2)]. Thus, from equation (3) we obtain (11). Anchimeric assistance of the deprotonation step requires that its microscopic reverse be accelerated also [i.e. both (2) and (2)] will be augmented by n.g.p. terms]; it is therefore reasonable ‡ to speculate that for reaction of  $\text{SH}_e$  the condition (2) > (3) could prevail and that equilibration of  $\text{MH}_e$  and  $\text{M}_e^-$  might occur under the catalytic influence of the neighbouring amino group and of its conjugate acid.

$$k_{\text{obs}} = \frac{(1)(2)(3)}{(3)(2) + (1)(2)} \quad (11)$$

Thus, since (2)/(2) =  $K_a^{\text{MH}}/[\text{H}^+]$  we obtain, from equation (11),  $k_{\text{obs}} = (1)(3)K_a^{\text{MH}}/[\text{H}^+]\{k_{-1} + (3)K_a^{\text{MH}}/[\text{H}^+]\}$  and  $1/k_{\text{obs}} = 1/k_1 + k_{-1}[\text{H}^+]/k_1 K_a^{\text{MH}}(3)$ . Now let (3) be augmented by  $k_3^{\text{NSP}}f$  where  $k_3^{\text{NSP}}$  is the rate constant for conversion of  $\text{M}^-$  into P catalysed by the conjugate acid ( $\text{RNH}_3^+$ ) of the neighbouring group ( $\text{RNH}_2$ ), and  $f$  is the fraction of  $\text{M}^-$  which exists with its terminal amino group in the  $\text{RNH}_3^+$  form, i.e.  $(3)^{\text{NSP}} = (k_3[\text{H}^+] + k_3^{\text{OH}} + k_3^{\text{B}}[\text{BH}^+] + k_3^{\text{NSP}}f)$  where  $f = [\text{RNH}_3^+]/([\text{RNH}_3^+] + [\text{RNH}_2]) = 1/(1 + K_a^{\text{NSP}}/[\text{H}^+])$  and  $K_a^{\text{NSP}} = [\text{RNH}_2][\text{H}^+]/[\text{RNH}_3^+]$ . Thus, where  $[\text{B}_{\text{tot}}] = ([\text{B}] + [\text{BH}^+])$ , we obtain equations (12).

$$(3)^{\text{NSP}} = \{k_3[\text{H}^+] + k_3^{\text{OH}} + k_3^{\text{B}}[\text{B}_{\text{tot}}][\text{H}^+]/([\text{H}^+] + K_a^{\text{BH}}) + k_3^{\text{NSP}}[\text{H}^+]/([\text{H}^+] + K_a^{\text{NSP}})\} \quad (12a)$$

$$1/k_{\text{obs}} = 1/k_1 + k_{-1}/k_1 K_a^{\text{MH}}\{k_3 + k_3^{\text{OH}}[\text{OH}^-]/K_w + k_3^{\text{B}}[\text{B}_{\text{tot}}]/([\text{H}^+] + K_a^{\text{BH}}) + k_3^{\text{NSP}}/([\text{H}^+] + K_a^{\text{NSP}})\} \quad (12b)$$

The pH–rate profile and absence of general-base catalysis can be accommodated by equation (12b) if it is assumed that

\* For  $\text{SH}_e$  there is a rectilinear relationship between  $1/k_{\text{obs}}$  and  $1/[\text{OH}^-]$  throughout the range  $[\text{OH}^-]$  1.0–0.01; where  $[\text{OH}^-] = 0.001$  however, the departure from linearity can reasonably be attributed to 46% protonation of  $\text{SH}_e$ . The secondary amino group of  $\text{SH}_e$  should, however, be ca. 0.5  $\text{p}K_a$  units more basic than that of  $\text{SH}_e$  (cf. 2-ethylaminoethylamine  $\text{p}K_a$  10.56, and diethylamine  $\text{p}K_a$  11.04) which is correspondingly expected to be ca. 21% protonated under the same conditions. This would cause a proportionate reduction of  $k_{\text{obs}}$  which would be almost sufficient to account for the trend observed.

‡ Footnote given on p. 1903.

conversion of  $M_e^-$  into  $P_e$  is predominantly promoted by solvent ( $k_3^{OH}$ ) and intramolecular (rather than intermolecular) general-acid catalysis at hydroxide concentrations of 1.0–0.1M and 0.1–0.01M, respectively. Thus, the linear relationship between  $1/k_{obs}$  and  $1/[OH^-]$  observed for the former range is of the form (13) while the relative insensitivity of the reaction rate to base concentration in the latter range (plateau) becomes a consequence of expression (14).

$$1/k_{obs} = 1/k_1 + k_1 K_w/k_1 K_a^{MH} k_3^{OH} [OH^-] \quad (13)$$

$$1/k_{obs} \rightarrow 1/k_1 + k_1/k_1 K_a^{MH} (k_3 + k_3^{nsp}/K_a^{nsp}) \quad (14)$$

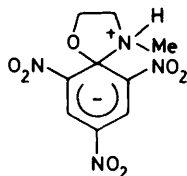
According to this alternative interpretation of the kinetics of reaction of  $SH_e$  the linear relationship between  $1/k_{obs}$  and  $1/[OH^-]$  at high pH will have slope  $k_1 K_w/k_1 K_a^{MH} k_3^{OH}$  and intercept  $1/k_1$ ; the values obtained are  $6.06 \text{ mol s}^{-1}$  and  $60.6 \text{ s}$ , respectively. Thus, upon substitution of  $pK_a^{MH}$  14.77 and (by analogy with  $SH_{e,d}$ )  $k_{-1} \approx 10^9 \text{ s}^{-1}$  and  $k_3^{OH} \approx 10^7 \text{ s}^{-1}$ , we obtain  $pK_a^{MH} \approx 11.77$ . Furthermore, at the rate plateau ( $1/k_{obs} = 110 \text{ s}$ ) we require: (i) that  $1/k_{obs} = 1/k_1 (1 + k_1 K_a^{nsp}/K_a^{MH} k_3^{nsp})$ , and hence  $k_3^{nsp}/K_a^{nsp} \approx 10^9/K_a^{MH}$ ; (ii) that  $k_3^{OH}[OH^-]/K_w < k_3^{nsp}/K_a^{nsp}$ , i.e. for  $[OH^-] < 0.1M$ . One must therefore argue that  $k_3^{nsp}/K_a^{nsp} > 10^7 \cdot 10^{-1}/10^{14.77} = 10^{20.77}$  and, correspondingly,  $pK_a^{MH} \approx (20.77 - 9) = 11.77$ . Thus, a self-consistent interpretation of the intercept, slope, and plateau is available, provided  $pK_a^{MH} \approx 11.77$ . However, this value is very much larger than expected\* and leads us to conclude that this interpretation of the kinetics of reaction of  $SH_e$  is untenable.

Also, if we re-examine our original requirement that  $f \times$  (effective concentration,  $x$ )  $\geq 1$  for the pre-equilibrium SB-GA mechanism to apply, where  $f = 1/(1 + K_a^{nsp}/[H^+])$ , we obtain  $x \geq 1 + K_a^{nsp}/[H^+]$ . It is most unlikely that this will apply within the range  $[OH^-] = 0.01\text{--}1.0M$  (pH ca. 12.77–14.77) since  $pK_a^{nsp} \approx 9$  (cf. ethylenediamine,  $pK_a^{60} 9.005$ ) would demand that the neighbouring catalyst exhibit an effective concentration in excess of  $10^4 M$ ; this is unprecedented for intramolecular proton-transfer mechanisms.

For example, an effective molarity of  $< 1M$  has been estimated<sup>6b,8</sup> for the general-acid catalyst for the process in Scheme 3.

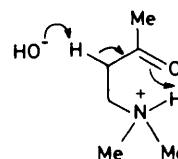
However, there have also been reports of high effective molarities of neighbouring general acids in reactions catalysed by intramolecular proton transfer.<sup>9</sup> Thus, the effective

\* The magnitude of  $pK_a^{MH}$  can be gauged by comparison with that<sup>10</sup> for (1) ( $pK_a$   $5.4 \pm 0.3$ ) which is ca. 3.5 pK units less than that for the conjugate acid of the amino-ether from which it is derived. It is to be expected that for a less activated system (fewer nitro groups) the pK difference would be very much smaller, say 1.5 pK units.



(1)

We expect that for the conjugate acid of the secondary amino-group of  $SH_e$ ,  $pK_a \approx 8.32$  at  $60^\circ C$ , since  $\beta$ -amino substitution of the  $N$ -ethyl group of  $SH_e$  ( $pK_a$  8.65) should cause a  $pK_a$  decrease of ca. 0.33 units (cf.  $HOCH_2CH_2NHCH_2CH_3$ ,  $pK_a$  9.85, and  $HOCH_2CH_2NHCH_2CH_2NH_2$ ,  $pK_a^1$  9.52). Thus, we estimated that  $pK_a^{MH}$  is ca. 6.8–8.3.

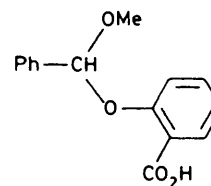


Scheme 3.



(I)

(II)



(III)

concentrations of the carboxy group catalysts of hydrolyses of (I)–(III) are 100, 5000, and 10000M, respectively.<sup>9</sup> These high values may be a consequence of the limited conformational mobilities of such systems, and in contrast with the behaviour to be expected of  $k_3^{nsp}$ .

In conclusion, we are confident that the behaviour of  $SH_e$  cannot be attributed to the SB-GA mechanism since estimates of the necessary value for  $pK_a^{MH}$  in the presence of the neighbouring catalyst are inconsistent with reasonable expectations; interpretation according to the r.i.d. mechanism affords an explanation that is also consistent with our explanation of the behaviour of  $SH_{e,d}$ .

## Experimental

**Rate Measurements.**—The kinetics of rearrangement of  $SH_e$  ( $\lambda_{max}$  ca. 330 nm) to  $P_e$  ( $\lambda_{max}$  435 nm) at  $60^\circ C$ , in the aqueous alkaline solutions described in the Table, were followed spectrophotometrically. Reactions were initiated by addition of a solution (10  $\mu$ l) of  $SH_e$  (0.015M, in acetonitrile) to the aqueous base solution (3  $cm^3$ ) which had been thermostatted within the cell compartment of a Unicam spectrophotometer, model 1700; the increase in absorbance which occurred at 435 nm was monitored for more than seven half-lives of the rearrangement whereupon a computer (ICL1903A) was used to determine the pseudo-first-order rate constants by least-squares analysis in the usual way; in each case more than 50 data points were determined and the rate constants were reproducible to within  $\pm 1\%$ . Reactions were repeated in triplicate and the average values obtained from runs for which the correlation coefficient exceeded 0.998 are in the Table. A calibrated thermistor was used to monitor the temperature of the reaction medium.

**Preparation of  $N$ -( $p$ -Nitrophenoxyethyl)ethylenediamine  $SH_e$ .**—Sodium hydride (1.0 g; 50% suspension in oil) was added to a solution of  $N$ -(2-hydroxyethyl)ethylenediamine (2.08 g) in dimethyl sulphoxide (10  $cm^3$ ) whereupon  $p$ -chloronitrobenzene (3.1 g) was added in one portion. After 5 min dichloromethane (100  $cm^3$ ) was added and the organic layer, which separated upon addition of water (150  $cm^3$ ), was

extracted with hydrochloric acid (50 cm<sup>3</sup>; 4M). The acidic extract was basified while it was being stirred vigorously with ethyl acetate at 2 °C; the ethyl acetate extract was washed with water, dried, and evaporated to yield the required product SH<sub>e</sub>, which had  $\delta$  (60 MHz; CDCl<sub>3</sub>) 8.14 (2 H, d, *J* 9 Hz), 6.96 (2 H, d, *J* 9 Hz), 4.20 (2 H, t, *J* 5 Hz, CH<sub>2</sub>O), 3.4–4.0 (4 H, m), and 3.08 (2 H, t, *J* 5 Hz, CH<sub>2</sub>NH<sub>2</sub>).

The product was, however, in admixture with the isomeric product (P<sub>e</sub>) of its subsequent rearrangement; elemental analysis of the mixture (75% SH<sub>e</sub>:25% P<sub>e</sub>), from which SH<sub>e</sub> could not be isolated, was satisfactory (Found: C, 53.1; H, 6.9. C<sub>10</sub>H<sub>15</sub>N<sub>3</sub>O<sub>3</sub> requires C, 53.3; H, 6.7%).

*Preparation of N-(2-Aminoethyl)-N-(2-hydroxyethyl)-p-nitroaniline P<sub>e</sub> by Smiles Rearrangement of SH<sub>e</sub>.*—The reaction described above, for preparation of SH<sub>e</sub>, was repeated for an extended reaction time of 24 h; dichloromethane (100 cm<sup>3</sup>) and water (150 cm<sup>3</sup>) were added whereupon the product precipitated as a yellow solid which was recrystallised from benzene and obtained in 50% yield with m.p. 150 °C;  $\delta$  (60 MHz; CF<sub>3</sub>CO<sub>2</sub>H) 7.75 (2 H, d), 6.62 (2 H, d), 3.0–3.3 (2 H, m, CH<sub>2</sub>NH<sub>2</sub>), and 3.3–4.0 (6 H, m) (Found: C, 52.9; H, 6.7; N, 18.0. C<sub>10</sub>H<sub>15</sub>N<sub>3</sub>O<sub>3</sub> requires C, 53.3; H, 6.7; N, 18.7%;  $\delta_{\text{max}}$  (KBr disc) 3 340 and 3 280 (sharp, R-NH<sub>2</sub> sym. and asym. str.), 3 000–3 200, 2 700–2 950, 1 600, 1 515, 1 465, 1 435, 1 405, 1 325, 1 210, 1 160, 1 120, 1 075, 940, 825, and 755 cm<sup>-1</sup>).

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